

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: M. YOSHIDA, et al.

Application No.: 10/042,271



Filed: JANUARY 11, 2002

ABRASIVE, METHOD OF POLISHING TARGET MEMBER  
AND PROCESS FOR PRODUCING SEMICONDUCTOR  
DEVICEAllowed: **APRIL 21, 2006**  
IF PAID: **JULY 19, 2006**Group AU: 3723  
Examiner: Shantese L. McDonald  
Confirm. No: 6050**SUBMISSION UNDER 37 CFR 1.56****Mail Stop: AMEND – NO FEE**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 20, 2006

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a Judicial Decision delivered on June 20, 2006, in connection with a counterpart Japanese application, together with an English translation of this Judicial Decision. For facilitating consideration, also enclosed is a Form PTO/SB/08A, listing the enclosed document.


This Submission is being filed subsequent to payment of the Issue Fee, on July 19, 2006. Accordingly, this Submission is under 37 CFR 1.56, and not under 37 CFR 1.97 and 1.98.

In view of the foregoing, entry of this Submission of record in the above-identified application, and consideration thereof to the full extent necessary and proper, are respectfully requested.

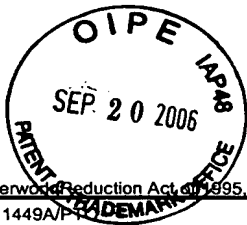
Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case No. 1204.38683CX1), or credit any excess fees paid to such Deposit Account.

Respectfully submitted,

**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

By   
William I. Solomon  
Registration No. 28,565

WIS/ksh  
1300 N. Seventeenth Street  
Suite 1800  
Arlington, Virginia 22209  
Tel: 703-312-6600  
Fax: 703-312-6666



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <b>September 20, 2006</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/042,271
				Filing Date	01/11/2002
				First Named Inventor	M. YOSHIDA
				Art Unit	3723
Examiner Name	Shantese L. McDonald				
Sheet	1	of	1	Attorney Docket Number	1204.38683CX1

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Judicial Decision in connection with JP (Laid-open) 9-14371, delivered on June 20, 2006.	T

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.